



SPEAKER FAQs: ABA Risk and Compliance Conference 2024

In Person: June 11-14, 2024 Seattle Convention Center Seattle, WA

Remote Attendees: Platform Opens June 10, 2024; General Sessions Live-Streamed; Concurrent Sessions will be posted 36 hours after they are recorded.

Staff Contacts

- **Program Lead:** Dorothy A. Friedlander, Vice President, Risk and Compliance Programs, dfriedla@aba.com
- **To Confirm Receipt of Your Bio, Headshot and Speaker Release:** Tijuana Smith, Senior Program Coordinator, tijuans@aba.com
- **Registration/Hotel Questions:** Michelle Wynter (lead) mwynter@aba.com; Dequilla Pullen dpullen@aba.com

Immediate Action Items	
<p>Sign Speaker Release Form</p> <p><i>Look for an email from Novatus/DocuSign</i></p>	<p>ABA's Speaker Release allows ABA to:</p> <ul style="list-style-type: none"> • Video record your presentation, post the non-downloadable recording on the virtual conference platform and have it accessible, on-demand on the platform, only for registered attendees until September 15, 2024. • Provide your handouts to attendees through our mobile app and conference platform. • Include your name/biography/headshot through our mobile app and conference platform. • Use clips from non-government speaker sessions for future conference marketing. • For government speaker sessions, use unedited clips for ABA news postings only, not marketing, if newsworthy. <p>Releases are required to speak. Most issues with our standard release can be resolved - please contact Dorothy Friedlander (dfriedla@aba.com) to discuss options.</p>
<p>Upload Your Headshot/Bio</p>	<p>Deadline: May 15, 2024: Upload bios and headshots using our special link: https://aba.qualtrics.com/jfe/form/SV_b1xAZV4C0v2VNxs</p>
<p>Register for the Conference</p> <p><i>Speakers Receive Complimentary Conference Registration</i></p>	<p>All speakers must self-register due to GDPR privacy compliance rules, checking the disclosure agreement boxes.</p> <p>Step 1: Go to our registration page, using the Chrome Browser for the best result.</p> <p>Step 2: Pick Registration Type: Speaker</p> <div data-bbox="657 1150 1182 1430" data-label="Image"> </div> <p>Step 3: Check your registration information if it pops up automatically, or input as needed.</p> <p>Step 4: Select the speaker registration option – which says: “Risk and Compliance Conference - In Person All Access Registration.” <i>(The coupon code will not work with any other registration type.)</i></p> <p>Step 5: When you type in the Coupon Code (for speakers): Do not cut and paste it - type the code into the box with no spaces before or after the dash. Use this speaker code: RCCSPEKC24-7945</p> <p>Note: Speaker registrations are non-transferable.</p>
<p>Book Your Hotel in our VIP Block</p>	<p>Hotel Reservation Instructions:</p> <p>Please use this link to register in the ABA's VIP Block – there is a VIP block in all of our hotel choices. While not required, using our VIP link ensures you will be in our protected block, at your choice of conference hotels, at the conference rate.</p> <p>https://jems.jspargo.com/jemscfw/Housing/Default.aspx?ShowId=579&AppType=vip</p>

<p>PPT Presentations</p>	<p>Sessions are not required to have a PowerPoint presentation as we are emphasizing a ‘talk show’ session style for conference concurrent sessions.</p> <p>If you decide a PPT Presentation is needed, we are only accepting a single/combined PPT representing the entire panel. All presentations, on the ABA PPT template are due via email to Dorothy Friedlander – dfriedla@aba.com for final approval by May 31, 2024</p> <p>Please notify us of embedded sound or video clips, or if you are using Apple-based software prior to your arrival so the appropriate equipment can be ordered for you.</p> <p>On-site: Laptops will be provided at the conference in all sessions. Please do not bring your own laptop due as connection issues would likely result. Questions? Contact Dorothy Friedlander at dfriedla@aba.com.</p> <ul style="list-style-type: none"> • Concurrent session speakers will operate their own presentation off of ABA-provided laptops. • General session presentations will be run by our production team with the speakers cueing slides by remote control from the stage.
<p>Session Room Set-Up/AV</p>	<p>Below is the set up and AV planned for the event. If you require something outside our planned set-up, please email dfriedla@aba.com well in advance of your session. Last minute requests cannot be accommodated and all requests will be approved based on logistical concerns and budget.</p> <p><u>Concurrent Sessions:</u> Presenters will sit ‘talk show style’ with chairs, side tables on a small platform at the front of the room (no panelists’ table). In addition, there will be a screen(s), LCD projector and an ABA-provided laptop to drive presentations. A wireless remote will also be provided to advance slides. Wireless microphones will be provided for presenters and a standing microphone will be available in the center of the room for audience Q&A.</p> <p>We will be recording only one of the two sessions you are presenting, requiring you to switch rooms between sessions. One session will be in a ‘regular’ session room and one session will be in our ‘recording’ room where the set up described above is upgraded specifically for the camera (white furniture, backdrop, etc.). In person audience experience should be the same for either session.</p> <p><u>General Sessions:</u> Presenters will be on a large stage with full production support. Expect a large screen as a backdrop along with two additional screens on either side of the stage. We avoid laptops on stage except if there are demos. Presentations will be driven by a remote cueing the backstage computer. Wireless microphones will be provided to presenters and there will be a lectern for speakers who prefer speaking from a stationary position.</p> <p>Cameras in the general session room will not only record the session but will also provide a video feed for live-streaming as well as magnify stage images to the screens. Concurrent sessions are NOT live-streamed and cameras are only in the room to record the session.</p> <p>You will be given specific instructions on where to meet the day of your presentation. We may ask you to schedule a brief rehearsal/microphone check – you will be notified by Dorothy Friedlander (dfriedla@aba.com).</p>

Please use the information below to create a presentation in alignment with our audience needs and conference objectives.

ATTENDEE PROFILE

Summary

For 36 years, ABA produced the largest banking consumer compliance conference in the country. Additionally, for 10 years, ABA produced an all-encompassing risk conference providing a deep dive into the full range of financial, non-financial risks and credit risks.

In 2023, ABA combined these two events to elevate the expertise of risk and compliance professionals as well as provide a one-stop-shop for new practices and trends. The ABA Risk and Compliance Conference (RCC) provides a forum for already collaborating entities to share innovative ideas and practices.

RCC's mission is to provide...

- Updated trends, tactics and solutions to improve the performance of **experienced** risk and compliance professionals and provide a means by which they can benchmark their practices.
- Deep and advanced level sessions covering financial, non-financial and credit risk.
- Deep and advanced level sessions on the full range of consumer compliance.
- Sessions applicable to and/or customized for all three lines of defense and to all asset sizes.
- Opportunities to exchange ideas with peers in their own job roles as well as peers across the risk and compliance spectrum.
- Direct access to the nation's top solutions-providers.
- Annually required CE credits for CERP and CRCM credits and CPE for in-person attendees.

Content relevancy relies on delivering advanced-level, in-depth program content applicable to all sizes of institutions.

Expected Attendance

- 1,800-2,000 in-person attendees along with another 1,000+ remote attendees.
- General Sessions: between 1000-1500 attendees.
- Concurrent Sessions: 50-200 attendees.

Very experienced audience:

65%-70% have over 10 years of experience.

Attendee Mix:

- Most of our attendees are credentialed bankers (CRCMs, CERPs, Lawyers and CPA's).
- Our bankers hold titles of: Manager, Specialist, Analyst, Assistant Vice President, Vice President, Director, Senior Vice President, Executive Vice President, Deputy CCO, Deputy CRO, CCO, CRO.
- For compliance, bankers represent all three lines of defense, but primarily 2nd line; for risk most risk officers are in corporate risk governance/ERM units. We see a lot of QC and controls managers and some audit (mostly internal)
- Bankers represent 70-80% of our audience, representing the full range of asset sizes (percentages are approximate):
 - Below \$1B (20%);
 - \$1B-\$10B (25%);
 - \$10B-\$50B (15%)
 - Over \$50B (20%)
 - Non-bank (20%) (5% of those as federal government – the rest are outside counsel, outside auditors, and premier compliance and risk service providers and consultants.)

Because of the level of experience of our audience, coupled with the diverse representation of bank asset sizes, **presenters are asked to prepare in-depth presentations targeting a senior-level audience from all sizes of institutions (except where the session is targeting a particular audience segment.)**

PRESENTER CHECKLIST

Planning Your Session

Session-specific call(s) will be scheduled among panelists to ensure everyone is clear on the session learning objectives and to outline specific speaker roles. If you are a solo presenter, either an advisory board member or an ABA staff member will contact you directly to talk about your session outline.

Contact Dorothy Friedlander at dfriedla@aba.com if you have not heard from your moderator before May 15.

Content Planning

The session description is your starting point. Your presentation, in collaboration with co-presenters, must deliver what we are promising in the session description. You can update the description by contacting Dorothy Friedlander (dfriedla@aba.com).

Content checklist:

- **Content flow preferred format: “Talk Show Style”**
– moderator leads an interactive discussion, teeing up questions to cover key points. We can arrange an ‘interviewer’ for solo speakers.
- **Be Brief.** Individual panelists should speak no longer than 5 minutes continuously.
- **Go Deep and Tactical** on a narrow range of sub-topics. The more granular the better.
- **Most sessions are only 45 minutes. Limit the number of speakers to strengthen content depth.**
Ideal: two speakers with 1 moderator/speaker.
- **Review your presentation for audience relevance and experience.**
- **Include ideas on how to “right-scale” your practices to different sizes of banks.**
- **Solo speakers:** We can provide a moderator who can tee-up questions for you, creating an interactive dialogue. Contact Dorothy Friedlander to arrange (dfriedla@aba.com)
- **Presentations should be new and unique to this event.**
Do:
 - Provide sophisticated, cutting-edge and actionable tactics and ideas, along with

supplemental materials if applicable.

- Keep Slides Simple - Avoid wordiness, complex graphics or animation.
- Provide only a single PPT for panels. All presenters’ slides should be integrated and synchronized as part of the overall timed outline to eliminate redundancies and to keep the presentation focused.

- **Avoid:**

- Reusing slides from other events.
- Providing overviews, historical lessons or review well-known information. This experienced audience expects the latest information and practices. They likely have already read/heard quite a bit about the topic area. **Ask yourself – how can I improve the performance of experienced audience members?**

- **Execution**

- Your moderator will collect the slides and put them together to create a single, seamless presentation.
- Use of our conference PPT Template is required – download from the speaker resource webpage.

Logistics

This event is an in-person event with a remote live stream+ on-demand offering, session depending.

The in-person event will operate as most traditional conferences do. However, most sessions will be recorded on-site to be added to the virtual on-demand platform for viewing by our remote attendees.

Speakers will have to switch rooms for your repeat sessions. Some recordings will happen during the first occurrence of your session, and some will happen during the repeat session. Recording rooms will look different as they will be set to improve the recording with white furniture, backdrop and special lighting.

Dress Code: Business Casual. (Not resort wear.)

Other Presenter Tips

Speaker Tone: Civility is a conference standard. With political divisions at an all-time high, we ask presenters to refrain from editorializing, making political statements or negative statements about the government, other banks/companies or other official entities.

- **Be upbeat and energized.** Smile! In-person or virtual attendees expect a high energy level and eye contact. Please do not read your notes.
- **Speak clearly and slowly;** pace between points.
- **If you are being recorded, do not forget to look at the camera. Standing is permitted as long as you do not walk out of camera range.**
- **Encourage questions throughout** – avoid holding questions until the end because it is rare you will have time at the end.
- **Please put away your phone during your presentation.** No answering emails/texting – it conveys lack of interest in the session or your co-panelists.

Social Media Kit

Share your speaker journey via social media using our social media kit! We have graphics and suggested posts for LinkedIn and X

<https://socialpresskit.com/aba-risk#aba-risk-and-compliance-conference>

Conference Mobile App

Attendees using our mobile app can manage their conference schedule, view speaker bios, exhibitor information and session materials. **All attendees use this mobile app so please don't miss having your bio, headshot, presentation (and signed release to allow it all to be posted) completed.**

Session Room Assignments

The mobile app will have room assignments along with our printed schedule. You will not receive a separate email on room locations – please refer to the mobile app and/or our printed schedule on-site and leave plenty of time to locate your rooms prior to your session.

You can expect access to the mobile app a few days before the conference.

On-Site Speaker Meetings/Rehearsals

- To meet with your co-panelists at the Conference, you may reserve our “On-Call” meeting room **on-site** on a first-come-first-served basis. The sign-up schedule will be available at Registration. We will also have a speaker ready room which cannot be reserved but is a good place to meet.
- There are no available rehearsal rooms. If you have a special need for a rehearsal, please contact Dorothy Friedlander (dfriedla@aba.com) in advance of the Conference to explore options.

Thank you for participating in this industry-important event.