RISK & COMPLIANCE CONFERENCE

SCHEDULE AT A GLANCE & WIN BIG

American Bankers Association®

RISK & COMPLIANCE CONFERENCE

Wi-Fi

Network:
abaevents

Password:
GetCertified

*Program subject to change

2:00 PM – 6:00 PM
Early Registration
Atrium Lobby

3:00 PM – 4:00 PM
New Attendee Welcome
Room: 611 – 614

4:00 PM – 5:00 PM
Mix and Mingle Monday
Sponsored By: Asurity
Atrium Lobby

TUESDAY, JUNE 11, 2024

7:00 AM – 5:30 PM
Registration
Atrium Lobby

7:00 AM – 9:00 AM
Welcome Continental Breakfast
6ABC Lobby

9:00 AM – 10:00 AM
GENERAL SESSION
Industry Update for Risk and Compliance
Ballroom 6ABC

10:00 AM – 10:15 AM
MOVE TO NEXT SESSION

10:15 AM – 11:00 AM
CONCURRENT SESSIONS — SERIES #1
    1A: Optimizing Testing: Addressing Resources, Trends
    and Efficiency Strategies
    Repeated in 2A **| Track: Compliance and Risk**
    Room: Tahoma 3

1B: CRA: An Executive Overview
Repeated in 2B | Track: Compliance and Risk
Room: 4C3 & 4C4

1C: The 3 C's to Improve the Efficiency and Effectiveness of Your Governance Practices
Repeated in 2C | Track: Compliance and Risk
Room: 602 – 604

1D: Check Up on Your Data Governance to Avoid New Risks
Repeated in 2D | Track: Compliance and Risk
Room: Tahoma 4

1E: Creative Methods to Obtain Deposits: What Risks Are We Taking On?
Repeated in 2E | Track: Risk
Room: 606 – 607

1F: Fees - Where Are We Now? From Overdraft to NSF to Credit Cards
Repeated in 2F | Track: Compliance
Room: 4C1 & 4C2

1G: Marketing Compliance: New Era = New Risks
Repeated in 2G | Track: Compliance
Room: 611 – 614

1H: Leading Practices in Managing Appraisal Bias
Repeated in 2H | Track: Compliance
Room: 608 – 609

1I: Navigating Through the Sea of Hot Topics in Mortgage Servicing
Repeated in 2I | Track: Compliance
Room: Tahoma 1 & 2

11:00 AM – 11:15 AM
MOVE TO NEXT SESSION

11:15 AM – 12:00 PM

CONCURRENT SESSIONS — SERIES #2

2A: Optimizing Testing: Addressing Resources, Trends and Efficiency Strategies
Repeated from 1A | Track: Compliance and Risk
Room: Tahoma 4

2B: CRA: An Executive Overview
Repeated from 1B | Track: Compliance and Risk
Room: 4C1 & 4C2

2C: The 3 C's to Improve the Efficiency and Effectiveness of Your Governance Practices
Repeated from 1C | Track: Compliance and Risk
Room: 606 – 607

2D: Check Up on Your Data Governance to Avoid New Risks
Repeated from 1D | Track: Compliance and Risk
Room: Tahoma 3

2E: Creative Methods to Obtain Deposits: What Risks Are We Taking On?
Repeated from 1E | Track: Risk
Room: 602 – 604

2F: Fees - Where Are We Now? From Overdraft to NSF to Credit Cards
Repeated from 1F | Track: Compliance
Room: 4C3 & 4C4

2G: Marketing Compliance: New Era = New Risks
Repeated from 1G | Track: Compliance
Room: 608 – 609

2H: Leading Practices in Managing Appraisal Bias
Repeated from 1H | Track: Compliance
Room: 611 – 614

2I: Navigating Through the Sea of Hot Topics in Mortgage Servicing
Repeated from 1I | Track: Compliance
Room: Tahoma 1 & 2

12:00 PM – 1:30 PM
Innovation Showcase Luncheon
Ballroom 6ABC

1:30 PM – 1:45 PM
MOVE TO NEXT SESSION

1:45 PM – 2:30 PM
CONCURRENT SESSIONS – SERIES #3

3A: Improving Your Exam Management Program
Repeated in 4A | Track: Compliance
Room: 606 – 607

3B: Review Your Product and Change Management Program for Effectiveness
Repeated in 4B | Track: Compliance and Risk
Room: Tahoma 4

3C: Adapting Your Third-Party Risk Management Program to New Fintech Risks
Repeated in 4C | Track: Compliance and Risk
Room: 4C1 & 4C2

3D: Evaluation of the Risks of Artificial Intelligence
Repeated in 4D | Track: Compliance and Risk
Room: 608 – 609

3E: Asset Liability Management — Part 1: A New Era for Asset/Liability Management
Repeated in 4E | Track: Risk
Room: Tahoma 3

3F: Improving the Performance of Your Enterprise Risk Management Framework
Repeated in 4F | Track: Risk
Room: 602 – 604

3G: UDAAP – The New, The Old and Everything in Between
Repeated in 4G | Track: Compliance
Room: 611 – 614

3H: Hot Topics in RESPA
Repeated in 4H | Track: Compliance
Room: Tahoma 1 & 2

3I: The New Retail Lending CRA Test — An Initial Dive
Repeated in 4I | Track: Compliance
Room: 4C3 & 4C4

2:30 PM – 2:45 PM
MOVE TO NEXT SESSION

2:45 PM – 3:30 PM
CONCURRENT SESSIONS – SERIES #4
4A: Improving Your Exam Management Program
Repeated from 3A | Track: Compliance
Room: 602 – 604

4B: Review Your Product and Change Management Program for Effectiveness
Repeated from 3B | Track: Compliance and Risk
Room: Tahoma 3

4C: Adapting Your Third-Party Risk Management Program to New Fintech Risks
Repeated from 3C | Track: Compliance and Risk
Room: 4C3 & 4C4

4D: Evaluation of the Risks of Artificial Intelligence
Repeated from 3D | Track: Compliance and Risk
Room: 611 – 614

4E: Asset Liability Management — Part 1: A New Era for Asset/Liability Management
Repeated from 3E | **Track: Risk**
Room: Tahoma 4

4F: Improving the Performance of Your Enterprise Risk Management Framework
Repeated from 3F | **Track: Risk**
Room: 606 – 607

4G: UDAAP — The New, The Old and Everything in Between
Repeated from 3G | **Track: Compliance**
Room: 608 – 609

4H: Hot Topics in RESPA
Repeated from 3H | **Track: Compliance**
Room: Tahoma 1 & 2

4I: The New Retail Lending CRA Test — An Initial Dive
Repeated from 3I | **Track: Compliance**
Room: 4C1 & 4C2

3:30 PM – 3:45 PM
MOVE TO NEXT SESSION

3:45 PM – 5:00 PM
GENERAL SESSION
Redirecting Your Cybersecurity Threat Focus to Improve Bank-Wide Effectiveness
Ballroom 6ABC

5:00 PM – 6:00 PM
OPENING RECEPTION: Emerald City — Welcome to The Hub! Get a taste of Seattle as you reconnect with industry providers and peers.
Hall 4AB

7:30 AM – 5:30 PM
Registration
Atrium Lobby

7:30 AM – 8:15 AM
POWER BREAKFAST SESSIONS
PB1: **Navigating Beyond the Obvious: Uncharted Risk and Compliance Topics that Should Be On Your Horizon**
Sponsored By: Wolters Kluwer
Room: 611 – 614

PB2: **Valuation Functions and CECL as It Relates to Regional / Community Banks**
Sponsored By: BDO USA, P.C.
Room: 602 - 604

PB3: **Better than PPP? — Hear What is Even Better Than PPP in 2024 for Your Bank**
Sponsored By: Lenders Cooperative
Room: 606 – 607

PB4: **How Banks are Navigating Regulatory Compliance with AI**
Sponsored By: Encapture
Room: 608 – 609

8:00 AM – 8:30 AM
Coffee and Conversation
A light breakfast will be served
6ABC Lobby

8:30 AM – 9:45 AM
GENERAL SESSION
AI in Risk and Compliance: What is Here, What is Coming and What May Never Be!
Ballroom 6ABC

9:45 AM – 10:30 AM
Coffee Break in The Hub
Hall 4AB

10:30 AM – 11:15 AM

CONCURRENT SESSIONS – SERIES #5

5A: Building an Effective, Efficient and Interconnected Issues Management Program
Repeated in 6A | Track: Compliance and Risk
Room: Tahoma 3

5B: CRA: Adapting Your Business Strategy to the New Rule
Repeated in 6B | Track: Compliance and Risk
Room: 4C3 & 4C4

5C: Getting the Risk & Compliance Band Back Together in Models
Repeated in 6C | Track: Compliance and Risk
Room: Tahoma 1 & 2

5D: AML and OFAC Update
Repeated in 6D | Track: Compliance and Risk
Room: 608 – 609

5E: Navigating the Climate Minefield: Risks and Strategies for Banks in an Evolving Regulatory Landscape
Repeated in 6E | Track: Risk
Room: Tahoma 4

5F: Credit Risk: Can't Manage What You Can't Monitor
Repeated in 6F | Track: Risk
Room: 606 – 607

5G: Asset Liability Management — Part 2: Evaluating Deposit Stickiness
Repeated in 6G | Track: Risk
Room: 602 – 604

5H: Remediating Remediation Risks
Repeated in 6H | Track: Compliance and Risk
Room: 611 – 614

5I: Board Reporting 2024: Shifting from Metrics to Messaging
Repeated in 6I | Track: Compliance and Risk
Room: 4C1 & 4C2

11:15 AM – 11:30 AM
MOVE TO NEXT SESSION

11:30 AM – 12:15 PM
CONCURRENT SESSIONS – SERIES #6

6A: Building an Effective, Efficient and Interconnected Issues Management Program
Repeated from 5A | Track: Compliance and Risk
Room: Tahoma 4

6B: CRA: Adapting Your Business Strategy to the New Rule
Repeated from 5B | Track: Compliance and Risk
Room: 4C1 & 4C2

6C: Getting the Risk & Compliance Bank Back Together in Models
Repeated from 5C | Track: Compliance and Risk
Room: Tahoma 1 & 2

6D: AML and OFAC Update
Repeated from 5D | Track: Compliance and Risk
Room: 611 – 614

6E: Navigating the Climate Minefield: Risks and Strategies for Banks in an Evolving Regulatory Landscape
Repeated from 5E | Track: Risk
Room: Tahoma 3

6F: Credit Risk: Can't Manage What You Can't Monitor
Repeated from 5F | Track: Risk
Room: 602 – 604

6G: Asset Liability Management — Part 2: Evaluating Deposit Stickiness
Repeated from 5G | Track: Risk
Room: 606 – 607

6H: Remediating Remediation Risks
Repeated from 5H | Track: Compliance and Risk
Room: 608 – 609

6I: Board Reporting 2024: Shifting from Metrics to Messaging
Repeated from 5I | Track: Compliance and Risk
Room: 4C3 & 4C4

12:15 PM – 1:45 PM
Lunch in The Hub
Hall 4AB

1:45 PM – 2:30 PM
CONCURRENT SESSIONS — SERIES #7

7A: AI - The Next Frontier for Models
Repeated in 8A | Track: Compliance and Risk
Room: 608 – 609

7B: Top Fraud Risks
Repeated in 8B | Track: Compliance and Risk
Room: 611 – 614

7C: Preparing for Large Bank Status — A Roadmap for Compliance
Repeated in 8C | Track: Compliance
Room: Tahoma 1 & 2

7D: Reframing the Debate Over ESG: A Presentation and Discussion with malasky+partners
Repeated in 8D | Track: Risk
Room: 606 – 607

7E: Capital Management: Evolving Regulatory Expectations
Repeated in 8E | Track: Risk
Room: Tahoma 3

7F: 2024 Approach to Deepen Your Analysis and Managing CRE Assets
Repeated in 8F | **Track: Risk**
Room: Tahoma 4

7G: Fair Lending Hot Topics
Repeated in 8G | **Track: Compliance**
Room: 4C3 & 4C4

7H: Not Just Flood Insurance, but Most Definitely Flood Insurance
Repeated in 8H | **Track: Compliance**
Room: 4C1 & 4C2

7I: Surfin' the Waves of Change in Payments
Repeated in 8I | **Track: Risk**
Room: 602 – 604

2:30 PM – 3:30 PM
Dessert in The Hub
Hall 4AB

3:30 PM – 4:30 PM
GENERAL SESSION
What Would You Do? CRO and CCO Collaboration with Today's Challenges
Ballroom 6ABC

4:30 PM – 5:30 PM
Wonders in the Wilderness Reception — An experience inspired by Seattle's natural beauty awaits you.
Atrium Lobby & Ellis Plaza

7:30 AM – 5:30 PM
Registration
Atrium Lobby

7:30 AM – 8:15 AM
POWER BREAKFAST SESSIONS

PB1: Navigating Turbulent Waters — Are Legal Challenges to Agency Rulemaking the New Normal?
Sponsored By: Finastra
Room: 611 – 614

PB2: Artificial Intelligence: The New Compliance Frontier
Sponsored By: NContracts
Room: 608 – 609

PB3: Mitigating Loss: Combating the True Cost of Fraud
Sponsored By: Abrigo
Room: 602 – 604

8:00 AM – 8:30 AM
Coffee and Conversation
A light breakfast will be served
6ABC Lobby

8:30 AM – 10:00 AM
GENERAL SESSION
Part 1: Silicon Valley 2.0 - Where Are We Now and Where Are We Heading? A Fireside Chat
Part 2: Risk Management's 'Secret Sauce' Includes a Culture of Ethics and Inclusion
Ballroom 6ABC

10:00 AM – 11:00 AM
Coffee Break in The Hub
Hall 4AB

11:00 AM – 11:45 AM

CONCURRENT SESSIONS — SERIES #8

8A: AI - The Next Frontier for Models
Repeated from 7A **| Track: Compliance and Risk**
Room: 611 – 614

8B: Top Fraud Risks
Repeated from 7B **| Track: Compliance and Risk**
Room: 608 – 609

8C: Preparing for Large Bank Status — A Roadmap for Compliance
Repeated from 7C **| Track: Compliance**
Room: Tahoma 1 & 2

8D: Reframing the Debate Over ESG: A Presentation and Discussion with malasky+partners
Repeated from 7D **| Track: Risk**
Room: 602 – 604

8E: Capital Management: Evolving Regulatory Expectations
Repeated from 7E **| Track: Risk**
Room: Tahoma 4

8F: 2024 Approach to Deepen Your Analysis and Managing CRE Assets
Repeated from 7F **| Track: Risk**
Room: Tahoma 3

8G: Fair Lending Hot Topics
Repeated from 7G **| Track: Compliance**
Room: 4C1 & 4C2

8H: Not Just Flood Insurance, but Most Definitely Flood Insurance
Repeated from 7H **| Track: Compliance**
Room: 4C3 & 4C4

8I: Surfin' the Waves of Change in Payments
Repeated from 8I **| Track: Risk**
Room: 606 – 607

11:45 AM – 1:45 PM
Seated Luncheon
Ballroom 6ABC

1:45 PM – 2:45 PM
Dessert in The Hub
Hall 4AB

2:45 PM – 4:30 PM
CONCURRENT SESSIONS — SERIES #9
Learning Labs with Libations
These sessions will be recorded except for 9A and 9E

9A: Compliance Officer of the Future: New Risks, New Technology, New Opportunities
Not a repeated session **| Track: Compliance and Risk**
Room: 4C3 & 4C4

9B: Test Drive Your Testing: Creating Efficiencies in Testing
Not a repeated session **| Track: Compliance and Risk**
Room: Tahoma 4

9C: My Name Is ___ and I Struggle with Third-Party Risk Management
Not a repeated session **| Track: Compliance and Risk**
Room: 4C1 & 4C2

9D: Cybersecurity Incident Response: A Tabletop Exercise
Not a repeated session **| Track: Risk**
Room: 606 – 607

9E: Career Paths for Risk and Compliance: Mapping Out Your Future
Not a repeated session **| Track: Compliance and Risk**
Room: Tahoma 3

9F: Check Up on 1071: An Interactive Discussion
Not a repeated session **| Track: Compliance and Risk**
Room: 611 – 614

9G: Mortgage Lending Question Jam
Not a repeated session **| Track: Compliance**
Room: Tahoma 1 – 2

FRIDAY, JUNE 14, 2024

7:30 AM – 9:30 AM
Registration
Atrium Lobby

7:30 AM – 9:30 AM
BREAKFAST BUFFET AND GENERAL SESSION
Fuel Up for the Road Ahead
　　Part 1: What Are Your Next Steps Next Week?

　　Part 2: Convergence: How Governance, Operations and
　　Controls Must Interact and Engage in the Face of Rapid
　　Change with Patti Joyner
　　Ballroom 6ABC

ABA has everything you need for today's risk & compliance challenges.

COMPLIANCE SCHOOLS

Foundational
Oct. 28 – Nov. 13, 2024
Virtual

Advanced
Oct. 14 – 18, 2024

Emory Conference Center
Atlanta, GA

aba.com/CS

RISK MANAGEMENT SCHOOLS

Foundational
Oct. 14 – 18, 2024

Advanced
Oct. 14 – 17, 2024

Emory Conference Center
Atlanta, GA

aba.com/RMS

American Bankers Association

SAVE
THE DATE

RISK &
COMPLIANCE
CONFERENCE

June 10 – 13, 2025
Indianapolis, IN